

Issuer to CHESS Conversion

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AFSL No. 230704

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Melbourne VIC
3001

Telephone 13 13 80
nabtrade.com.au

Please complete this form if you want WealthHub Securities to sponsor your existing Issuer Sponsored Holdings.

Issuer sponsored refers to shares or managed fund units that are sponsored by the company issuing the shares, or the fund manager issuing the managed fund units (not the broker).

CHESS sponsored refers to shares or managed fund units that are sponsored by a broker (eg WealthHub Securities).

Please use black or blue pen and write in CAPITALS. If you need help completing this form, call us on 13 13 80.

Section 1

Trading account details

nabtrade Trading Account number

Holder Identification Number (HIN)

Name(s) in which your Trading Account is held

Section 2

Details held with the share / unit registry

- If you have a margin lending facility linked to your Trading Account please contact your Margin Lender.
- Please provide the same details (name, address and designation) that are registered with the Issuer.

For this conversion to be successful, the registration details (name, address and designation) with the Issuer must exactly match the CHESS registration details on your nabtrade account

- If your details have changed, you'll need to advise the Issuer Sponsor of the changes before the conversion can be processed.

Important

Please also attach copies of your latest Issuer Sponsored Holding Statement(s) for all holdings listed on page 2 of this form

Section 2

Details held with the share / unit registry (cont.)

- a. Registered **name** as recorded on your latest Issuer Holding Statement(s)

- b. Account designation (if applicable), eg < X and Y Superfund A/C>

- c. Registered **address** as recorded on your latest Issuer Holding Statement(s)

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Section 2

Details held with the share / unit registry (cont.)

- d. Please specify the holdings you wish to transfer to your nabtrade Trading Account.

| | |
|--|----------|
| Security or mFund name | |
| | |
| Securityholder Reference Number (SRN) or Investor Number | |
| ASX or APIR code | Quantity |

| | |
|--|----------|
| Security or mFund name | |
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| ASX or APIR code | Quantity |

| | |
|--|----------|
| Security or mFund name | |
| | |
| Securityholder Reference Number (SRN) or Investor Number | |
| ASX or APIR code | Quantity |

Attach a separate sheet if you require additional securities to be transferred, and sign the attachment

Section 3

Signatures

I/We authorise WealthHub Securities to convert the above holdings into my/our Trading Account (**all account holders to sign**).

1 Account Holder one

Given name(s) / Family name (PLEASE PRINT)

Signature

| | |
|---|----------|
| ✕ | Date / / |
|---|----------|

2 Account Holder two

Given name(s) / Family name (PLEASE PRINT)

Signature

| | |
|---|----------|
| ✕ | Date / / |
|---|----------|

3 Account Holder three

Given name(s) / Family name (PLEASE PRINT)

Signature

| | |
|---|----------|
| ✕ | Date / / |
|---|----------|

Please complete and return this form and any attachments to:

Post:

nabtrade
GPO Box 4545
Melbourne VIC 3001

Fax:

1300 368 758

Email:

forms@
nabtrade.com.au

Section 4

Further instructions to transfer your holdings to your nabtrade Trading Account

1. Checklist for completing this form

For your conversion to be processed without delay please ensure:

- Name and address details listed on your current nabtrade account are the same as the details held at the share / unit registry*.
- All security holders requesting the conversion sign this document.
- For Joint Accounts: both holders of the account sign.
- For Company Accounts with two or more directors: either both directors sign, or one director and the company secretary sign.
- Lines are drawn through any mistakes on the form and initialled by all signatories on the account.

Instruction for a successful transfer

| CHES or Unit Registry Statement has | nabtrade account has | Scenario | What you need to do |
|---|--|---|--|
| Mr John Smith and Mrs Mary Smith 1 Perth Street Sydney NSW 2000 | Mr John Smith 1 Perth Street Sydney NSW 2000 | Issuer holding has two holders, nabtrade account does not | Open an account at nabtrade in the name of Mr John Smith and Mrs Mary Smith or complete an Off Market Transfer form from the download centre at nabtrade.com.au |
| Mr John Smith and Mrs Mary Smith <Smith Super Fund A/C> 1 Perth Street Sydney NSW 2000 | Mr John Smith 1 Perth Street Sydney NSW 2000 | Issuer holding is in the name of a Super Fund, nabtrade account is not | Open an account at nabtrade in the name of Mr John Smith and Mrs Mary Smith <Smith Super Fund A/C> or complete an Off Market Transfer form from the download centre at nabtrade.com.au |
| Mr John Andrew Smith 1 Perth Street Sydney NSW 2000 | Mr John Smith 1 Perth Street Sydney NSW 2000 | Issuer holding has a middle name, nabtrade account does not | Contact nabtrade and submit a Change of Name form with a certified copy of identification |
| J Smith 1 Perth Street Sydney NSW 2000 | Mr John Smith 1 Perth Street Sydney NSW 2000 | Issuer holding states an initial, nabtrade account does not | Contact the Share Registry and complete a Name Correction Request and Indemnity Form. Your Issuer holding must have the same name as your nabtrade account |
| Mr John Smith Apartment 25 Sydney NSW 2000 | Mr John Smith Unit 25 Sydney NSW 2000 | Issuer holding states a different word in the address field to the nabtrade account | Contact the Share Registry and complete a Change of Address Notification Form. Some Share Registries will allow you to amend your address on-line at their website. Your Issuer holding must have the exact same name and address as your nabtrade account |
| Mr John Smith 21 Perth Street Sydney NSW 2000 | Mr John Smith 11 Adelaide Street Sydney NSW 2000 | Issuer holding has a different address to nabtrade account | Contact the Share Registry and complete a Change of Address Notification Form. Some Share Registries will allow you to amend your address on-line at their website. Your Issuer holding must have the exact same address as your nabtrade account |

Please note: Our preferred file format for returned forms is PDF.

Please visit nabtrade.com.au/support to view our Frequently Asked Questions

* If the registration name and address of your Issuer Holding do not match exactly with the registration details on your nabtrade account, you will need to advise the respective Share / Unit Registry of the changes before we can process this conversion.

When the Share / Unit Registry has notified you of the correction, please forward:

1. The confirmation to WealthHub Securities.
 2. A copy of your previous Issuer Sponsored Holding Statement or holding statement issued by your fund manager.
- Please contact your fund manager directly for a copy of your fund holding statement.

Section 4

Further instructions to transfer your holdings to your nabtrade Trading Account

Sample of a CHES Holding Statement



ASX Code

To convert your Issuer Sponsored holding we need to know the ASX code and the number of units you would like us to convert.

Issuer Sponsored Holdings

Are maintained by the Share / Unit Registry on behalf of the Issuer of your shares.

Securityholder Reference Number

A unique number that identifies you as an Issuer Sponsored holder with a listed company. A SRN usually starts with the letter 'I' eg. I00012345678.

If you hold shares in more than one company and choose to be Issuer Sponsored, you will have a different SRN for each shareholding.

Related definitions

CHES stands for Clearing House Electronic Sub-register System. The CHES Settlement Facility is a computerised share registry and settlement system operated by the ASX Settlement Pty Ltd (ASX Settlement), a subsidiary of the ASX. CHES records an account's share ownership via the ASX's computer-based share and settlement transaction system. An account's security holdings are recorded against their HIN and a statement is sent out to their registered address.

mFund refers to managed funds admitted to the mFund Settlement Service. This service uses CHES, ASX's electronic settlement system, to automate buying and selling units in admitted managed funds through brokers including nabtrade.

Holder Identification Number (HIN) is a unique number you are issued when you are CHES/Broker Sponsored. Your HIN can register multiple securities. A HIN Starts with the letter X, and is followed by 11 numbers eg. X00123456789.