

Bulk Off Market Transfer Form

**WealthHub Securities Limited**

ABN 83 089 718 249
AFSL No. 230704

nabtrade
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Melbourne VIC 3001

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forms@nabtrade.com.au

Please note: Only original forms will be accepted. Photocopies will not be accepted.

Any alterations must be initialled by the sellers/ and the buyer/s. Any increase to the quantity of securities being transferred is not acceptable even if initialled. Correction fluid or tape must not be used.

Please refer to Section 6 for instructions on how to complete this form.

Section 1

Security details

ASX Code e.g. NAB	Security/Stock Code e.g. NAB Limited	Quantity (Figures) e.g. 100	Consideration (\$AUD) \$AUD	Date of Purchase DD/MM/YY	SRN If applicable

Section 2

Transferor/(s)/Seller/(s) details

1. Full Registered Name of Seller(s) [Transferor(s)]

Account Name

A/C Designation (if applicable) - PLEASE USE BLOCK LETTERS

2. PID

3. HIN Number

4. Account Number (if applicable)

Section 3**Transferee/(s)/Buyer/(s) details****5. Full Registered Name of Buyer(s) [Transferee(s)]**

Account Name

A/C Designation (if applicable) - PLEASE USE BLOCK LETTERS

6. Full Registered address of Buyer(s) [Transferee(s)]

7. PID**8. SRN ☐ or HIN ☐ Number (please tick)****9. Account Number (if applicable)**

I/We the registered holder/s and undersigned seller/s for the above consideration do hereby transfer to the above name/s hereinafter called the Buyer/s the securities as specified above standing in my/our name/s in the books of the above named Company, subject to the several conditions on which I/We held the same at the time of signing hereof and I/We the Buyer/s do hereby agree to accept the said securities subject to the same conditions. I/We have not received any notice of revocation of the Power of Attorney by death of the grantor or otherwise, under which this transfer is signed.

Section 4**Signatures****10. Transferor(s) / Seller(s) sign here**

Individual or Securityholder 1

Director or Sole Director and
Sole Company Secretary

Securityholder 2

Director/Company Secretary

Securityholder 3

Sole Director (No Company
Secretary)**11. Day/Month/Year**

Date / /

12. Transferee(s) / Buyer(s) sign here

Individual or Securityholder 1

Director or Sole Director and
Sole Company Secretary

Securityholder 2

Director/Company Secretary

Securityholder 3

Sole Director (No Company
Secretary)**13. Day/Month/Year**

Date / /

Section 5**Next Steps**

Please complete and return this form and certified identification documents (copies or faxes won't be accepted) to:

Post:
GPO Box 4545,
Melbourne VIC 3001

Email:
forms@nabtrade.com.au

Section 6

Completing this form

Stamp duty	<p>Transfers of securities that are listed and quoted on an Australian Stock Exchange are exempt from stamp duty. However, stamp duty may be payable on any other security, and will be based on the amount of the consideration. Duty is payable if:</p> <ol style="list-style-type: none"> where the security is a share in a company, the company is incorporated in New South Wales or South Australia, or if the company is a foreign company, the shares are kept on the Australian register kept in New South Wales or the registered office is in South Australia; or where the security is a unit in a unit trust scheme, the unit register is located in New South Wales or South Australia, or where the register is outside Australia, the manager is a company incorporated in New South Wales or South Australia or the manager is a natural person resident in New South Wales or South Australia. <p>The rate is the rate applicable in New South Wales or South Australia. Transfers must be submitted to the respective State stamp duty office for assessment and payment by you of any applicable duty.</p>
Full name of company or corporation	The full name of the company or corporation in which securities are held.
State of incorporation	State in which the Company is incorporated in. This can be found on the securities certificate, statement or other notices received from the company.
Description of securities	eg Fully Paid Ordinary Shares, 9% Unsecured Convertible Notes etc.
Register	The state on which the seller's securities are registered. This can be found on the securities certificate or statement.
Quantity	Number of securities being transferred (in both words and figures).
Full name of Transferor/s or Seller/s	Full names must be included together with any designation (if applicable).
Securityholder Reference Number (SRN) or Holder Identification Number (HIN)	This number must be quoted when transferring securities not represented by certificates. That is securities registered on the issuer sponsored (uncertificated) subregister. Your HIN must be quoted when transferring securities from a broker, margin lender or custodian.
Consideration	Is the full amount paid in settlement of the transfer of securities. Purchases should reflect the market price of shares as at the date of purchase. Market values are quoted in the daily newspapers.
Date of purchase	Insert date of purchase or completion of the transfer.
Full name of Transferee/s or Buyer/s	Insert the full names of buyer/s (a maximum of three joint holders). Securities may not be registered in the names of a firm or business name, an estate or deceased person, a minor, a fund or a trust.
Full postal address of Transferee/s or Buyer/s	Insert full address including the postcode. Only one address may be recorded.
Securityholder Reference Number (SRN) or Holder Identification Number (HIN)	If the buyer is an existing holder in the company and is registered on the Issuer Sponsored (uncertificated) subregister, please quote the existing SRN. Your HIN must be quoted when transferring securities from a broker, margin lender or custodian.
Seller signature/s – Digital signature/s will not be accepted	<ol style="list-style-type: none"> Individuals – The securityholder must sign. Joint Holdings – Where the holding is in more than one name, all of the securityholders must sign. Power of Attorney – To sign as power of attorney, you must have already lodged it with the registry. Alternatively, attach a certified photocopy of the power of attorney to this form. Deceased Estate – When the holding is in the name of an estate, all executors/administrators are required to sign. (Probate requirements must also be complied with.) Companies – Director, Company Secretary, Sole Director and Sole Company Secretary or Sole Director (no Company Secretary) can sign. Please sign in the appropriate box which indicates the office held by you.
Date signed	Insert date signed by the seller/s.
Buyer signature/s – Digital signature/s will not be accepted	<ol style="list-style-type: none"> Individuals – The securityholder must sign. Joint Holdings – Where the holding is to be registered in more than one name, all of the proposed securityholders must sign. Power of Attorney – To sign as power of attorney, you must have already lodged it with the registry. Alternatively, attach a certified photocopy of the power of attorney to this form. Deceased Estate – When the holding is in the name of an estate, all executors/administrators are required to sign. (Probate requirements must also be complied with.) Companies – Director, Company Secretary, Sole Director and Sole Company Secretary or Sole Director (no Company Secretary) can sign. Please sign in the appropriate box which indicates the office held by you.
Date signed	Insert date signed by the buyer/s.

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