



If the entity is a Financial Institution but does not have a GIIN, provide the entity's FATCA status (Select one of the following)

- |  |  |
|--|--|
| <input type="checkbox"/> Deemed Compliant Financial Institution                | <input type="checkbox"/> Non-Reporting IGA Financial Institution |
| <input type="checkbox"/> Excepted Financial Institution                        | <input type="checkbox"/> Non-Participating Financial Institution |
| <input type="checkbox"/> Exempt Beneficial Institution                         | <input type="checkbox"/> US Financial Institution                |
| <input type="checkbox"/> Other (describe the FATCA status in the box provided) | <input type="text"/>   |

## Section 2

### Financial Institutions (continued)

Is the Financial Institution an Investment Entity located in a Non-Participating CRS Jurisdiction and managed by another Financial Institution? Refer to <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/crs-by-jurisdiction> for the list of participating jurisdictions

- Yes  No

If **yes**, complete section 3.

## Section 3

Are any of the trustees, beneficiaries, associated parties (e.g. appointor/ settlor), directors, partners, or beneficial owners of the incorporated trustee or the organisation, foreign residents for tax purposes?

- Yes  No

## Section 4

### Next steps

Please complete and return this form and any attachments to:

<b>Post:</b> nabtrade Reply Paid 87762 Melbourne VIC 8060	<b>Fax:</b> 1300 368 758	<b>Email:</b> forms@nabtrade.com.au
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**Please note:** Our preferred file format for returned forms is PDF.

Please visit [nabtrade.com.au/support](http://nabtrade.com.au/support) to view our Frequently Asked Questions

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