

# Authorised Person Form

## Domestic Trading Account

**WealthHub Securities Limited**  
ABN 83 089 718 249  
AFSL No. 230704

nabtrade  
Reply Paid 87762  
Melbourne VIC 8060

Telephone 13 13 80  
nabtrade.com.au

Complete this form if you'd like to give someone else access to your Domestic Trading Account. An Authorised Person will be able to buy and sell shares (subject to the restrictions outlined in the nabtrade Client Agreement) and view the balances of your Cash Products. They will not however be able to move money between and out of your nabtrade Cash Products. Completion of this form will not give them access to International Trading Account/s. To give them access to your International Trading Account you will need to complete the International Trading Account Authorised Person Form which can be found at [www.nabtrade.com.au/forms](http://www.nabtrade.com.au/forms)

Use black or blue pen and write in CAPITALS. If you need help completing this form, please call 13 13 80 between 8am and 6pm (AEDT/AEST), Monday to Friday. All fields marked with an \* are mandatory.

### Section 1

#### Your account details

The Authorised Person outlined in Section Two will act in connection with the Domestic Trading Account below.

Domestic Trading Account Number

Name(s) in which your Domestic Trading Account is held

NT

Please select the level of access you'd like to give the Authorised Person outlined in Section Two.

- ☐ **Trading access:** Authorise another person or company director to have trading access over my/our Domestic Trading Account, according to the terms and conditions of the nabtrade Client Agreement. **or** ☐ **View only access:** Authorise another person or company director to have view only access over my/our Domestic Trading Account, according to the terms and conditions of the nabtrade Client Agreement.

### Section 2

#### Authorised Person details

1. \*Are you an existing NAB or nabtrade client?

Yes ☐ No ☐ Please ensure you provide certified copies of your identification as per Section 4

If **yes**, supply your NAB Identification Number or nabtrade username

2. Personal details

It is a legal requirement for you to provide your full legal name.

a. \*Title Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

b. \*Given name(s)

c. Middle name(s)

d. Any other name

Include your full middle name, if you have one

e. \*Family name(s)

f. \*Date of birth (you must be over 18 years of age)

g. \*Gender

Male ☐ Female ☐

**Section 2****Authorised Person details** (continued)

h. \*Country or countries of citizenship


i. \*Are you an Australian resident for tax purposes?

☐ Yes ☐ NoIf **no**, supply country of residence

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j. Are you a tax resident of a country other than Australia?

☐ Yes ☐ NoIf **yes**, download the supplementary form from [www.nabtrade.com.au/SupForm](http://www.nabtrade.com.au/SupForm) and fill out section 1.

Tax Residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can also be as a result of citizenship or residency.

k. \*Occupation

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Employer name

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**3. Contact Details**

\*You must provide at least one contact number and an email address to set up the account.

☐ Australian Mobile

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☐ Home phone

( )
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☐ Business phone

( )
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☐ \*Email address

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**4. Address Details**

a. \*Residential Address (not a PO Box)

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b. \*How long have you been at the above address?

☐ More than 3 years☐ Less than 3 years (supply previous residential address below)

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c. \*Mailing Address (if different from 4a. Can be a PO Box)

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**5. Security details**

a. \*Security question (eg. What's your Grandmother's maiden name?)

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b. \*Security answer (Note: Security answer is case sensitive and must contain a minimum of 5 characters)

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**Section 2****Authorised Person details** (continued)**6. Relationship to account holder****7. Client communications**

I agree to receive information about products and services from the NAB Group, including promotions and other opportunities nabtrade thinks I may be interested in.

☐ Yes ☐ No

If you don't tick any box, it will be assumed you want to receive information about these products, services and other opportunities.

**Section 3****Signatures**

By completing and signing this application, the Authorised Person agrees that:

1. I/We have accessed the following documents at nabtrade.com.au and have read, understand and agree to the nabtrade Best Execution Policy, the nabtrade Financial Services Guide, NAB Financial Services Guide, nabtrade Global Market Trading Product Disclosure Statement and the nabtrade Client Agreement comprising:

- Parts A to E and G to H – the agreement between you and WealthHub Securities.
- Parts F and H – the agreement between you and NAB in relation to the nabtrade Cash Products issued by NAB.
- Part G – contains the nabtrade Global Market Trading service terms.
- Part H – defines the terms in the nabtrade Client Agreement.
- Part I – contains the NAB Privacy Notification.

2. I consent to the following:

- receiving all further information (including terms and conditions, statements, notices and information about fees) by it being made available at nabtrade.com.au
- NAB and WealthHub Securities advising me via the nominated electronic address(es) (including email and SMS), that information is available at nabtrade.com.au
- that I may not receive paper documents. I understand I need to check my accounts regularly for electronic communications and that I can change my nominated electronic address or withdraw my consent.

3. I confirm that I am 18 years of age or over, and as far as I am aware, everything I have provided in this application form and identification form (where applicable), is true and complete. If there are any changes to this information in the future, I will advise nabtrade as soon as possible.

Printed copies of the nabtrade Financial Services Guide and the nabtrade Client Agreement are available by contacting nabtrade on 13 13 80.

**Authorised Person**

Given name(s) / Family name (PRINT)

Signature

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Provide signatures of all account holder/s that operate the Domestic Trading Account.

**Account Holder 1:**

Given name(s) / Family name (PRINT)

Signature

<b>X</b>	Date   /   /
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**Account Holder 2:**

Given name(s) / Family name (PRINT)

Signature

<b>X</b>	Date   /   /
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**Account Holder 3:**

Given name(s) / Family name (PRINT)

Signature

<b>X</b>	Date   /   /
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The Authorised Person needs to provide certified copies of identification documents. A certified copy is a document that has been certified as a true copy of an original document by an approved certifier. Original identification documents and photocopies of them will need to be shown to an approved certifier.

### Which documents can be used?

You can use either:

- one primary photographic identification document, or
- one primary non-photographic document and one secondary document.

You need to provide certified copies of the identification documents for each person applying for a nabtrade account. A certified copy is a document that has been certified as a true copy of an original document by an approved certifier.

Make sure all of your identification documents are current and contain your full name. You'll need to show your original identification documents and photocopies of them to an approved certifier.

#### Primary photographic documents

- Australian driver's licence containing a photograph of the person
- Australian Passport (a passport that has expired within the preceding 2 years is acceptable)
- Government issued foreign passport<sup>1</sup> containing the applicant's photograph
- Identity card issued by a foreign government<sup>1</sup>
- Foreign driver's licence that contains a photograph of the person in whose name that it's issued and the individual's date of birth<sup>1</sup>

#### Primary non-photographic documents

- Australian birth certificate or birth certificate issued by a foreign government<sup>1</sup>
- Australian citizenship certificate or citizenship certificate issued by a foreign government<sup>1</sup>
- Pension/Health Care/Seniors health care cards – Australian Government issue

#### Secondary documents

- Medicare card issued by the Australian Government
- Rates notice/Utility bill (issued with the preceding three months, and must contain the individual's name and residential address)
- Australian Taxation Office notice (issued within the preceding 12 months that contains the individual's name and residential address)
- Australian Defense Force photo identification card
- Australian firearms license issued to an individual by a state or territory police force of Australia

- A notice issued to an individual by the Commonwealth, a State or Territory of Australia recording the provision of financial benefits to the individual under a law of the Commonwealth, State or Territory, issued within the preceding twelve months and containing the name of the individual and their Residential Address

<sup>1</sup> Documents written in a language other than English must be accompanied by an English translation prepared by an accredited translator.

### Who's an approved certifier?

A person listed in Part 1 or Part 2 to of the Statutory Declarations Regulations 2023, including:

- A judge
- A chief executive officer of a Commonwealth court
- A notary public
- An agent of the Australian Postal Corporation who is in charge of supplying postal services to the public
- A permanent employee of the Australian Postal Corporation with 5 or more years of continuous service who is employed in an office providing postal services to the public
- A legal practitioner
- A magistrate
- A registrar or deputy registrar of a court
- A police officer
- An Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955)
- A finance company officer with 5 or more years of continuous service
- A Justice of the Peace

- Accountant who is:
  - (a) fellow of the National Tax Accountants' Association; or
  - (b) a member of any of the following:
    - (i) Chartered Accountants Australia and New Zealand;
    - (ii) the Association of Taxation and Management Accountants;
    - (iii) CPA Australia;
    - (iv) the Institute of Public Accountants
- Bank officer with 5 or more continuous years of service
- Credit union officer with 5 or more years of continuous service

### What does an approved certifier need to do?

On each page of the **photocopy**, the approved certifier needs to:

- write the text, 'This is to certify that this is a true copy of the original document which I have sighted'
- write the date on which the document was certified
- sign the copy

- print their full name and full address
- note the position that allows them to certify the document; for example, 'police officer', and
- write their registration number (if applicable).

## Section 5

### Next Steps

Complete and return this form and certified identification documents (copies or faxes won't be accepted) for the Authorised Person by **post** to:

**Post:**  
nabtrade  
Reply Paid 87762  
Melbourne VIC 8060

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