

# International Securities Transfer Request Form

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Please complete this form to transfer your existing holding/s from another broker or registry to your nabtrade International Trading Account. Please use black or blue pen and write in CAPITALS. The below criteria must be satisfied before an international transfer can be completed.

### Checklist for international transfers:

- The securities are electronically registered and are currently with a broker/registry who can complete international transfers.
- Stock to be transferred is tradeable with nabtrade – We support US, UK, German and Hong Kong markets across the NYSE, AMEX, NASDAQ, LSE, Xetra and HKEx exchanges. This can be confirmed by typing your security into the nabtrade order pad and confirming it appears.
- You have the ISINs (International Security Identification Numbers) for the securities.
- Attached recent copies of International Holding Statement(s).
- The holdings are being transferred into an account with the same name and legal entity type – i.e. there is no change in beneficial or legal ownership.
- The international trading account with nabtrade has been activated and unrestricted.
- All security holders requesting the share transfer have signed this document. For Joint Accounts both holders of the account are required to sign using a wet signature. For Company Accounts with two or more directors, either both directors sign, or one director and the company secretary sign.
- You have no outstanding orders or pending trade settlements for the shares you wish to transfer.

### Section 1 Trading Account details

nabtrade Trading Account number

NT	–00
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Name(s) in which your Trading Account is held


### Section 2 Other broker/registry

Please complete this section to transfer international holdings to your nabtrade International Trading Account.

- Please provide the same details (name, address and designation) that are registered on your current International Holding Statement(s).
- For this transfer request to be successful, the beneficial owner details (name, address and designation) at your other broker/registry must exactly match the details that you're providing on this form.

**Important**  
 Please also attach  
 copies of your latest  
 International Holding  
 Statement(s)

### Section 2 Other broker/registry (cont.)

a. Registered name as recorded with your other broker/registry


b. Account designation (if applicable), eg <X and Y Superfund A/C>


c. Registered address as recorded with your other broker/registry


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## Section 2 Other broker/registry (cont.)

d. Name of other broker/registry

e. Account number at other broker/registry

f. Email address of other broker/registry

## Section 3 Holdings to be transferred

Security name (eg Tesla)

ISIN code

Quantity

Security name (eg Tesla)

ISIN code

Quantity

Security name (eg Tesla)

ISIN code

Quantity

Security name (eg Tesla)

ISIN code

Quantity

Security name (eg Tesla)

ISIN code

Quantity

Security name (eg Tesla)

ISIN code

Quantity

Attach a separate sheet if you require additional securities to be transferred, and sign the attachment

## Section 4 Signatures

I/We authorise WealthHub Securities to transfer the international holdings into my/our International Trading Account. **Please note all account holders must sign.**

Please provide a copy of identification with your signature (if you haven't already in the past) to verify and provide to the other broker/registry when requested.

### 1 Account Holder

Given name(s) / Family name (PLEASE PRINT)

Signature

X \_\_\_\_\_ Date / /

### 2 Account Holder

Given name(s)/Family name (PLEASE PRINT)

Signature

X \_\_\_\_\_ Date / /

### 3 Account Holder

Given name(s)/Family name (PLEASE PRINT)

Signature

X \_\_\_\_\_ Date / /

Please complete and return this form and any attachments to:

**Email:** forms@nabtrade.com.au

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