

International Securities Transfer Request Form

Please complete this form to transfer your existing holding/s from another broker or registry to your nabtrade International Trading Account. Please use black or blue pen and write in CAPITALS. The below criteria must be satisfied before an international transfer can be completed.

Checklist for international transfers:

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| <p><input type="checkbox"/> The securities are electronically registered and are currently with a broker/registry who can complete international transfers.</p> <p><input type="checkbox"/> Stock to be transferred is tradeable with nabtrade – We support US, UK, German and Hong Kong markets across the NYSE, AMEX, NASDAQ, LSE, Xetra and HKEx exchanges. This can be confirmed by typing your security into the nabtrade order pad and confirming it appears.</p> <p><input type="checkbox"/> You have the ISINs (International Security Identification Numbers) for the securities.</p> <p><input type="checkbox"/> Attached recent copies of International Holding Statement(s).</p> | <p><input type="checkbox"/> The holdings are being transferred into an account with the same name and legal entity type – i.e. there is no change in beneficial or legal ownership.</p> <p><input type="checkbox"/> The international trading account with nabtrade has been activated and unrestricted.</p> <p><input type="checkbox"/> All security holders requesting the share transfer have signed this document. For Joint Accounts both holders of the account are required to sign using a wet signature. For Company Accounts with two or more directors, either both directors sign, or one director and the company secretary sign.</p> <p><input type="checkbox"/> You have no outstanding orders or pending trade settlements for the shares you wish to transfer.</p> |
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Section 1

Trading Account details

nabtrade Trading Account number

NT

-00

Name(s) in which your Trading Account is held

Section 2

Other broker/registry

Please complete this section to transfer international holdings to your nabtrade International Trading Account.

Important

Please also attach copies of your latest International Holding Statement(s)

- Please provide the same details (name, address and designation) that are registered on your current International Holding Statement(s).
- For this transfer request to be successful, the beneficial owner details (name, address and designation) at your other broker/ registry must exactly match the details that you're providing on this form.



Section 2

Other broker/registry (continued)

- a. Registered name as recorded with your other broker/registry

- b. Account designation (if applicable), eg < X and Y Superfund A/C>

- c. Registered address as recorded with your other broker/registry

- d. Name of other broker/registry

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- e. Account number at other broker/registry

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- f. Email address of other broker/registry

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Section 3

Holdings to be transferred

Security name (eg Tesla)

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ISIN code

Quantity

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Security name (eg Tesla)

--

ISIN code

Quantity

--	--

Security name (eg Tesla)

--

ISIN code

Quantity

--	--

Security name (eg Tesla)

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ISIN code

Quantity

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Security name (eg Tesla)

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ISIN code

Quantity

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Security name (eg Tesla)

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ISIN code

Quantity

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Attach a separate sheet if you require additional securities to be transferred, and sign the attachment



Section 4

Signatures

I/We authorise WealthHub Securities to transfer the international holdings into my/our International Trading Account.

Please note all account holders must sign.

Please provide a copy of identification with your signature (if you haven't already in the past) to verify and provide to the other broker/registry when requested.

Account Holder 1

Given name(s) / Family name (PLEASE PRINT)

Signature

 Date / /

Account Holder 2

Given name(s) / Family name (PLEASE PRINT)

Signature

 Date / /

Account Holder 3

Given name(s) / Family name (PLEASE PRINT)

Signature

 Date / /

Please complete and return this form to:

Post: nabtrade
GPO Box 4545
Melbourne VIC 3001

Email: forms@nabtrade.com.au

Please note: Our preferred file format for returned forms is PDF. Please visit nabtrade.com.au/support to view our Frequently Asked Questions