

# Small Estate Statement & Indemnity for Securities and Release of Funds

**WealthHub Securities Limited**  
ABN 83 089 718 249  
AFSL No. 230704

nabtrade  
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Telephone 13 13 80  
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Please use black or blue pen and write in CAPITALS. If you need help completing this form, please call 13 13 80 between 8 am and 7 pm (AEST), Monday to Friday.

## Section 1

### Details of the Executor/s

To: nabtrade

Full name of all Executors or Administrators

Postal address (all communications will be sent to this address. Even if there is more than one Executor or Administrator)

Telephone

Email address

## Section 2

### Registered Securities

Registered holding name (the "Deceased")

Registered address

For broker sponsored holdings – Holder Identification Number (HIN)

## Section 3

### Release of Funds without Probate

From the accounts as follows:

nabtrade cash account no

nabtrade high interest  
account no

By electronic funds transfer into the following account the full balance of the above cash accounts (allow up to 2 business days from when the accounts are closed):

BSB

Account

**Section 3****Release of Funds without Probate (continued)**

Account name

 Bank cheque made payable to (allow up to 5 business days from when the accounts are closed):**Section 4****Registered Securities****This section must be signed and witnessed for your instructions to be executed****I/we do solemnly and sincerely declare that to the best of my/our knowledge and belief:**

- The Deceased died on ..... and a certified copy of the Death Certificate of the Deceased has been provided.
- The Deceased left a valid Will, a certified true and correct copy of which is attached/has been provided to nabtrade/the Deceased did not leave a Will (delete one).
- No application has been made by me/us and I/we **do not** intend to apply for a Grant of Probate of the Will of the Deceased.
- To my/our knowledge, no other person intends to make an application for a Grant of Probate of the Will of the Deceased.
- I/We claim to be entitled to the funds held in the Deceased's account holdings because I am/we are the **executor(s)/ administrator/s** named in the last and valid Will of the Deceased.
- I/We request Wealthhub Securities Limited to permit transmission of the Securities linked to the ASX CHESS Holder Identification Number and that National Australia Bank Limited release cash in the associate cash accounts to ourselves as legal representative(s) of the Estate without requiring a Grant of Probate or Letters of Administration or reseal of Grant of Probate to be obtained in the state of where securities are registered.
- In consideration of Wealthhub Securities Limited registering the securities in name/s and in consideration of National Australia Bank Limited paying the net proceeds of the Deceased's above accounts to me/us, I/we hereby covenant to indemnify and keep indemnified the directors, the security issuer and trustees of the Security Issuer, Wealthhub Securities Limited, the Directors and officers of Wealthhub Securities Limited, National Australia Bank Limited and the Directors and officers of National Australia Bank Limited from and against all losses in respect hereof and all claims, actions, proceedings, demands, costs and expenses whatsoever which may be made or brought against them by reason of compliance with this request.
- I/We understand that I/we will be required to show original or certified true and correct copies of identification documents to verify who I/we are prior to Wealthhub Securities Limited and National Australia Bank Limited releasing any surplus funds and securities.
- I/We authorise you to act in accordance with my/our instructions set out above.
- I/We acknowledge that these instructions supersede and have priority over all previous instructions in respect to my/our securities and funds.
- I/We hereby undertake to pay all just debts and other expenses of the said Deceased and to hold nabtrade indemnified against all actions, lawsuits, claims and demands which may be brought against Wealthhub Securities Limited and National Australia Bank Limited as the result of such action against all costs and monies and payments of any nature whatsoever which Wealthhub Securities Limited or National Australia Bank Limited may incur or pay or become liable to pay arising either directly or indirectly out of any such actions, lawsuits, claims or demands.

**Executor/Administrator 1****Executor/Administrator 2****Executor/Administrator 3****Witness****Witness****Witness**

Day Month Year

Day Month Year

Day Month Year

**Executors/Administrators Australian:**

When the holding is in the name of an Estate, all Executors/Administrators are required to sign. The Corporations Act 2001 (Cth) imposes severe penalties for making a false statement (\$10,000 or 2 years imprisonment or both) or failing to ensure a statement is not false or misleading (\$5,000 or 1 year imprisonment or both).

**Overseas:**

Please complete this statutory declaration in accordance with the laws of the country in which it is declared and ensure it is legally witnessed.